

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	1786942
<b>Application Number:</b>	09501154
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	3469
<b>Title of Invention:</b>	Method and system for interactive initial offering of multi-class financial instruments
<b>First Named Inventor/Applicant Name:</b>	Srikanth Sankaran
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<b>Filer:</b>	Richard Bernard Lazarus/Margaret Scott
<b>Filer Authorized By:</b>	Richard Bernard Lazarus
<b>Attorney Docket Number:</b>	44321-104898
<b>Receipt Date:</b>	17-MAY-2007
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<b>Application Type:</b>	Utility

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 905
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Deposit Account	100435
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17	

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	44321104898RCE.pdf	681057	no	3
<b>Warnings:</b>					
<b>Information:</b>					
2	Amendment Submitted/Entered with Filing of CPA/RCE	44321104898Amendment.pdf	687444	no	7
<b>Warnings:</b>					
<b>Information:</b>					
3	Extension of Time	44321104898ThreeMonthExtension.pdf	91221	no	1
<b>Warnings:</b>					
<b>Information:</b>					
4	Fee Worksheet (PTO-06)	fee-info.pdf	8366	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			1468088		

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.